HUMANITY • FUTURE POSSIBILITIES • ENGAGEMENT COLLECTIVE INTELLIGENCE • INNOVATION • WHOLENESS

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A PATTERN APPROACH TO STEWARDING SUSTAINABILITY TRANSFORMATION

HOW THE 17 SDGs CAN BECOME A STARTING POINT FOR TRANSFORMATIVE CHANGE

COLLECTIVE LEADERSHIP STUDIES VOLUME 5



HUMANITY • FUTURE POSSIBILITIES • ENGAGEMENT • COLLECTIVE INTELLIGENCE • INNOVATION • WHOLENESS

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TABLE OF CONTENTS

1. The Sustainable Development Goals – Starting Point for Systems Change	6		
2. Pattern Recognition as a Prerequisite for Sustainability Transformation			
3. Five Implications for Integrating Pattern Recognition into SDG-Implementation			
4. Understanding the Aliveness Approach			
5. Collective Sense-Making and Collective Co-Creation			
6. World-Making as Stewarding Streams of Networked Patterns of Aliveness			
7. Meta-Level Guiding Principles rather than Prescriptive Action			
8. The Six Principles Contributing to Patterns of Aliveness			
Principle 1: Intentional Generativity – Life is Purposeful and Grows Ever More Complex			
Principle 2: Permeable Containment – Life Thrives on Identity and Meaningful Belonging			
Principle 3: Emerging Novelty – Life is Generously Creative			
Principle 4: Contextual Interconnectedness – Life Requires Diversity in Constant Reciprocal Communication			
Principle 5: Mutually Enhancing Wholeness – Life Operates with Integrated Collaborative Entities	21		
Principle 6: Proprioceptive consciousness – Life Emerges from Meaning-Making Cognition	23		
9. World-Making in Times of Global Challenges			
10. Towards a New Conception of Leading Transformative Change			
Taking Goals as Transformation Guidance			
Nurturing Emerging Potential			
Shifting Dysfunctional Patterns			
Stewarding nested transformation systems	31		
11. Outlook and Conclusions: Marrying Linear and Non-linear Approaches to Transformation			
12. Selection of literature reviewed	36		
13. About the author	42		
14. About the Collective Leadership Institute			



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A PATTERN APPROACH TO LEADING SUSTAINABILITY TRANSFORMATION HOW THE 17 SDGs CAN BECOME A

STARTING POINT FOR SYSTEMIC CHANGE

EXECUTIVE SUMMARY

This volume 5 of our Collective Leadership Series explores the issue of leading transformative change for SDG implementation on the basis of a patterned approach to systemic change that enhances aliveness in socio-ecological systems. It argues that understanding such an approach may turn out to be a key leverage point for shifting the dysfunctional patterns of interactions, which cause current complex and wicked global challenges, into more life-enhancing functional ones. The paper suggests that core insights from systems and complexity theory can significantly advance a new approach towards leading transformative change for the implementation of the 17 Sustainable Developmental Goals. This also requires conceptualizing leadership as the collaborative capacity of a collective of diverse actors across institutional boundaries in a patterned approach, because transformation encompasses more than change: it involves a shift in ways of thinking, acting, as well as enacting power structures and relationships. This also requires conceptualizing leadership as the collaborative capacity of a collective of diverse actors across institutional boundaries in a patterned approach, because transformation encompasses more than change: it involves a shift in ways of thinking, acting, as well as enacting power structures and relationships. The author defines six principles based on living systems theory that affirm and enhance the life-giving properties of systems, arguing that keeping these principles in mind and in adequate balance can contribute to the overall

effectiveness of the multiplicity of initiatives needed to bring about large system change. The paper further suggests ways of translating a pattern approach and the attention to the above mentioned principles into the linear mode of operations of most current institutions, and concludes that leading complex change towards sustainability transformation requires a profound mind-shift towards seeing the world as patterned reality in nested ecosystems with constantly to be negotiated aliveness aspirations.

1. THE SUSTAINABLE DEVELOPMENT GOALS – A STARTING POINT FOR SYSTEMS CHANGE

Climate change, environmental degradation, food insecurity, inadequate health care, unequal education, gender inequality, insufficient water and sanitation, non-renewable energy, unemployment, unsustainable human settlements or destructive consumption and production patterns, are all examples of *large-scale* complex systems challenges. The 17 Sustainable Development Goals (SDGs) have been formulated to address these challenges, yet, it is important to remember that complex systems evolve in unpredictable ways because of non-linear dynamic interactions (Allen, 2000; Choi, Dooley, Rungtusanatham, 2001). Transformation & therefore encompasses more than change - it involves a shift in ways of thinking, acting, as well as enacting power structures and relationships (Goepel, 2016; Folke, et al., 2010; Foucault, 1982). According to Geels et al. (2015: 2), it requires "coevolutionary changes in technologies, markets, institutional frameworks, cultural meanings and everyday life practices". Addressing these challenges requires taking *a systemic approach to* leading transformative change.

A system's view of life can be traced back to the early developments of systems theory in the beginning of the last century (Capra & Luisi, 2014) when advancements in psychology, biology ecology and quantum physics began to suggest that we need to understand organisms as interactive networks, and a sole focus on matter and structure needed to be complemented by a deeper understanding of processes, patterns, organizations and relationships (ibid.; Jackson & Van den Nouweland, 2005; Jackson, Joshi, & Erhardt, 2003). In other words, the attention of knowing moved from the parts to the whole (Checkland, 1997; Weinberg, 2001). Since then different streams of systems theory in the biological, social, and mathematical fields, as well as in physics, have both merged and departed. From a systemic perspective it is essential to know that the world cannot be understood by (only) investigating its components, but by taking a more holistic perspective.

"Transformation encompasses more than change – it involves a shift in ways of thinking, acting, as well as enacting power structures and relationships."

In addition, a perspective on living systems as selforganizing, interconnected and interdependent networks has been taken up by complexity theory (Mennin, 2007; Hammer, Edwards & Tapinos, 2012; Stewart, 2002) that advanced the understanding of non-linear dynamics in both living and non-living systems (Hilborn, 2000). It is suggested here that core insights from systems and complexity theory and a subsequent systemic way of thinking can greatly advance a new approach towards leading transformative change for the 17 Sustainable Developmental Goals. This, however, requires conceptualizing leadership as the collaborative capacity of a collective of diverse actors across institutional boundaries (Kuenkel,

The article argues therefore that transformative change needs to be anchored in principles typical for living systems and that enhance aliveness patterns in socio-ecological systems.

2016) in a patterned approach.

2. PATTERN RECOGNITION AS A PREREQUISITE FOR SUSTAINABILITY TRANSFORMATION

А approach sustainability pattern to transformation can be built on an emerging albeit fragmented - knowledge stream, which advances the hypothesis that the nature of reality and evolution consists of interconnected, co-evolutionary, and intentional structures of interaction. Their purpose is to generate and maintain negotiated patterns of aliveness (Weber, 2016; Finidori et al., 2015, Alexander, 2002). Moreover, the recent advancement of multistakeholder collaboration as an approach to systems change, particularly emphasized in the goal number 17 on global partnerships, further encourages looking at human interaction systems as a patterned occurrence, dependent on the way cross-institutional interaction is arranged and enacted. More conscious ways to engage with interaction patterns may help understand when and how collaborative processes contribute to the effectiveness and result-orientation of SDG implementation (Kuenkel, 2015).

It is important to remember the insight from systems theory that the process of cognition is seen as the constituting process of life by systems thinkers (Maturana and Varela, 1980; Capra & Luisi, 2014) and that this process inevitably includes the cognition of patterns as one of the core functions not only of the human brain (Kelso, 1997), but many living systems alike. Some of the recent breakthrough developments in artificial intelligence are based on pattern recognition technologies (Bishop, 2006). But beyond the world of Information Technology, it is argued here that the ability to recognize and work with patterns can be lifted into at least partial consciousness and this means that a discourse about patterns and their influence on the course of life is possible, both individually and collectively. Such a discourse can enhance the understanding about both the patterns that enhance the shared liveable future of humankind, and those which hinder it. Moreover, the degree to which the patterns that actors engage with are rigid or flexible, can determine the collective learning path. For example, there are numerous accounts where the exposure to a different worldview, a new thinking, a new experience or a new insight significantly shifted patterns of collective behaviour (Kelso, 1997; Clark, 2008; McKenzie, Woolf, Van Winkelen & Morgan, 2009) for better or worse. Becoming aware of the patterned occurrence of life in evolutionary processes is a cornerstone for recognizing patterns of behaviour, social interaction, and socio-ecological-economic structures.

> "A discourse about patterns and their influence on the course of life is possible, both individually and collectively."

Developing a shared language around them can enable a collective of actors to actively engage and learn from them, which is of particular importance to implementing the SDG at the scale needed.



Source: Collective Leadership Institute

3. FIVE IMPLICATIONS FOR INTEGRATING PATTERN RECOGNITION INTO SDG-IMPLEMENTATION

The view on pattern recognition as a process of engaging with the 17 developmental goals suggests five implications for integrating a systems view of life into leading the transformative change the goals require.

First: It implies that a better understanding of patterns that enhance the vitality of humanecological systems is needed in the field of sustainable development. Christopher Alexander (1979) described the 'quality without a name', or wholeness in a given space as synonymous with the 'degree of life' or aliveness in such a space. While he referred to an architectural space, recent scholars suggest that degrees of aliveness can also be found within a pattern of human interaction, in the relationship between humans and nature, or in a city space (Jacobs, 1961; Russel, 2013; Weber, 2016), in supply chain management, in an educational system, in an agricultural system, or in a political environment.

"Understanding the degree of patterns of aliveness in people, human communities and ecosystems may turn out to be a key leverage point for shifting dysfunctional patterns of interactions into more life-enhancing functional ones."

In other words, the question is what kind of pattern of interaction generates more aliveness or less, and for whom? The Global Sustainability Goals speak of values for human beings and all other living – and non-living organisms on earth. Understanding the role of enhancing the degree of life – or aliveness – in people, human communities and ecosystems may turn out to be a key leverage point for shifting dysfunctional patterns of interactions into more life-enhancing

functional ones.

Second: Promoting skills to recognize and enact life-enhancing patterns of interaction may therefore create an empowering pathway into a more sustainable future. Finidori and colleagues (2015) authors of pattern language 4.0, note: "For systemic change to arise, and to be meaningful and endure in the long term, it needs to occur in a variety of ways and it may also arise from many different locations, interconnected as networks and networks of networks" (ibid. p.8). From a pattern language perspective, the many social, environmental and economic challenges the world faces can be seen as dysfunctional patterns of human interaction in action. These problems may therefore be corrected by applying principles, which give rise to more functional patterns of interaction. To illustrate, those working towards sustainability goals could take self- and collective responsibility by asking themselves: 'How do we contribute to keeping a dysfunctional pattern in place?' and equally: 'How can we contribute to shifting it?'

Third: The key to implementing a patterned approach is to find a way to collaboratively fit the multiple actors, levels, initiatives, and other pieces of the change puzzle *together* in a desired direction – as a patterned approach - so that they bring vitality and functionality to overall existing systems, rather than dysfunctionality. The Global Sustainability Goals (SDGs) provide an important frame for thinking about patterns in change, because they serve as 'attractors' for numerous self-organizing approaches towards systemic change from any number of places and orientations. As attractors, the SDGs provide the basis for strong identification with articulated

targets, values, and norms. Although no one really knows how to reach the aspirations represented in the goals, such identifications can foster multiple initiatives, which in turn have a better chance of getting closer to the goal. Furthermore, motivating the actors within these initiatives to see themselves as part of a larger pattern of change and recognize functional as well as dysfunctional patterns will enable them to also understand how they contribute to dysfunctional patterns or how they can shift them.

Fourth: Life has an inherent tendency to create wholeness and to further the degree of life (Alexander, 2004). Living systems theory (Capra & Luisi, 2014) as much as recent insights into biology (Weber, 2016) suggest that this inherent urge leads to life always re-organizing into creating more life - even after destruction and chaos, disintegration, and damage. For example, over time and left to their own devices without human interference, ecosystems emerge back into the best possible degree of wholeness. Trees and plants in a forest support each other's aliveness (Wohlleben, 2016). In the human realm, even in the midst of brutal wars or natural disasters, people help each other enhance and maintain physical and psychological aliveness. Weber (2016) therefore suggests that life as such is intentional in the sense that every living being aims to expand and maintain aliveness. Not only humankind is driven by recognizing patterns, creating meaning from them and striving to further their own feeling of aliveness, but the urge to generate and regenerate life - which is more than survival – is one that humankind shares with each other and with the rest of nature (Weber, 2016, Kaufmann, 2016). Hence, it is important in the complex adaptive systems change that the Global Sustainability Goals aim to address to search for emergent, co-evolutionary patterns of aliveness. These interaction patterns can be identified and worked with – and possibly further transformed into more life-enhancing patterns. Such patterns arise when actors engage in multiple actions at different levels of the system, from local to municipal to regional to national and international, using approaches that have a degree of similarity, but are not identical to each other.

Fifth: Even if there is an inherent tendency towards aliveness in all of life there are also often trade-offs between different systems, which means that what boosts one system's aliveness can compromise that of another. Such trade-offs, however, if not seriously attended to, inevitably lead to overall compromised or dysfunctional patterns, which in turn, diminish the aliveness of smaller and larger systems. Water scarcity, environmental degradation or climate change are just a few examples. Individual enhancement of aliveness patterns at the expense of other people or natural systems leads to overall dysfunctional patterns, which then damage or endanger everybody, including future generations.



Source: Collective Leadership Institute

4. UNDERSTANDING THE ALIVENESS APPROACH

To better understand the implications of looking at the world's global challenges as patterns that further or diminish degrees of aliveness, it is important to note that a pattern is more than a structure or an order. While the latter suggests a state that is fixed and stable, the concept of patterns, according to Alexander (2002), implies relational interaction that is permeable, changeable, and always in flux. A structure, conversely, is what holds a pattern in place, even at the expense of its functionality. Order is what patterns aim for - an arrangement, a constellation, or composition that is most often beautiful, or at the very least functional, if the degree of aliveness is high. The beauty of intact ecosystems is just one example. Seeing sustainability challenges through the lens of *patterns of aliveness* suggests that many of the challenges addressed by the global goals result from structures put in place - often by human beings - with an ignorance of the fact that trade-offs created as a seemingly functional order in one part of a system cause extremely negative consequences in another part of the system.

> "Disempowered sections of the global society will inevitably become dysfunctional centres in the overall pattern, and seek ways to enhance their own aliveness."

For example, the current economic system has put structures in place that disempower a large section of the global population and strengthen the aliveness pattern of the affluent section of the global society. However, disempowered sections will inevitably become dysfunctional centres in the overall pattern and seek ways to enhance their own aliveness. Moreover, if they are unable to experience quality of life, side-lined from development, and cut off from mutual support, they will compromise the overall *pattern of aliveness*. Subsequently, they will eventually fight for a pattern that helps them regain their quality of aliveness.

Understanding a patterned approach will help all actors involved in implementing the SDGs to become aware of the impact of trade-offs on the larger pattern of life on earth. This offers new ways of seeing the 17 SDGs as systemic issues which require systemic approaches to enhance the aliveness of subsystems as much as that of the overall global system.

If the assumption of Weber (2016) holds true that a feeling of aliveness is an irreducible feeling every living being experiences and wants to maintain, then one can assume that the capacity to perceive patterns that are life-enhancing – that contain the 'quality without a name' according to Alexander (1979) – is in principle available to everybody, or at least can be learned. More widely accessible knowledge and practical skills to recognize and subsequently co-create life-enhancing patterns could become an enormously empowering contribution to the development of humankind in the face of the global challenges highlighted by the 17 Sustainability Development Goals.

Whatever a quality of aliveness consists of, it refers to a recognizable patterned process of interaction and structure in human-to-human, humanto-nature, and nature-to-nature systems. The degree to which such interaction and structures contain pathways to change towards negotiated *patterns of aliveness* in systems and subsystems can be viewed as the transformative quality (Geels et al., 2015) of change endeavours, as they

become recognizable patterned outcomes for sustainability. Hence, the cognition of interaction patterns is a skill that needs to be built for SDG implementation, individually and collectively.

"Knowledge and practical skills to recognize and subsequently co-create life-enhancing patterns could become an enormously empowering contribution to the development of humankind."



An enlivening dialogic and collaborative process around water governance between previously adverse stakeholder led to a new sense of aliveness: This enabled stakeholders in a drought-affected Tunisian province to develop a joined vision for integrated water management." Source: GIZ

5. COLLECTIVE SENSE-MAKING AND COLLECTIVE CO-CREATION

The shift in thinking that the above sections argue for can become a key factor for a shift in collective sense-making and collective co-creation that sustainability transformations need. But this goes beyond the human realm. The biologist and eco-philosopher Andreas Weber (2013) suggests an approach to sustainable development that has, at its core, the conscious concern for, and promotion of, social and ecological ecosystems that feel alive - from nature to economic systems to human communities to individuals. This refers to threatened ecosystems as much as to disenfranchised human communities, and furthermore, connects the self to the large systems that require transformation. In his recent book on the Biology of Wonder, Weber (2016) further explores this profound shift, not only in

thinking about the world, but also in day to day perception as a cornerstone for a more conscious - and subsequently more sustainable - worldmaking. He critically notes that - despite the enormous progress in environmental protection, human development, and the discourse about a new and radically different economy - a "basic contradiction remains that we consume the very biosphere that we are a part of and that we depend upon. From this perspective, we have not been able to come closer to solving the sustainability question; we remain trapped in its underlying, fundamental contradictions." (Weber, 2016, p. 17). What this suggests is that the term sustainability needs to be understood as a functional and continuous process pattern that is life-enhancing.



Source: Collective Leadership Institute

6. WORLD-MAKING AS STEWARDING STREAMS OF NETWORKED PATTERNS OF ALIVENESS

The concept of aliveness captures individual and collective world-making as a constant stream of complex, yet ordered and networked interaction patterns of which some may be life-enhancing – that is contributing to the feeling of aliveness of living organisms – and others not.

Within the context of the Sustainable Development Goals, the question therefore arises of how to steward rather than steer processes that are lifeenhancing, not only for the multiple overlapping subsystems of human communities, but also for their surrounding environments and the nonhuman communities within these.



Source: Collective Leadership Institute

Yet, if there is a perceived contradiction, between differences in interest, on what aliveness feels like – who mediates and who negotiates? Who decides which patterns are life-enhancing?

These questions hint at a need for a heightened awareness of the larger systems local and global actors operate in. In turn, a patterned approach could also inform knowledge building around multi-stakeholder collaboration, which may, in its ideal form, become a governance form for stewarding as well as collectively negotiating *patterns of aliveness* in socio-ecological systems.

A number of both researchers and practitioners have started to advance investigations into the relationship between sustainability and the resilience or vitality of systems. Concepts like a 'flourishing' future (Lovins, 2012; Waddell, 2016), 'thrivability' of systems (Russell, 2013), 'enlivenment' (Weber, 2013; 2016), a 'society of living' (Alvarez-Pereira, 2016), a 'generative economy' (Fullerton, 2015), an 'ecology of love' (Bateson, 2016) and many more have emerged. It is notable, that many of the future thinkers who argue that the world needs a new narrative about what it means to be human on this planet - from system thinkers, biologists, philosophers environmentalist, sociologists to economists may express the issue differently, but across the board emphasize what can be called a reorientation towards human reverence for humanity's participation as a conscious actor in an interconnected self-regulating natural system that is called the world. Nature seems to be self-regulating, the human community has not – as yet – achieved an entirely self-regulating system that works for 100% of humanity and the planet as whole. The sustainability challenges of our times are testimony to this.

Taking a pattern approach and understanding what constitutes *patterns of aliveness* may become an essential contribution to global and local transformation for effective SDG implementation.

7. META-LEVEL GUIDING PRINCIPLES RATHER THAN PRESCRIPTIVE ACTION

In the age of the Anthropocene, the future of the planet hinges on the human capability to partner with evolution in the attempt to create nested systems of sufficient aliveness for all – humanity and the rest of nature. There are many authors that have identified principles based on livings systems theory's insights that can inform better human co-creation (Weber, 2013), guide an economy in service of life (Fullerton, 2015), foster innovation (Jones, 2014) help leaders to become better partners of systemic change (Capra & Luisi, 2014), model organizational cultures after such principles (Swanson 2009; Laloux, 2014) or allow for organizing the commons as cornerstone of the societies of the future (Bollier & Helfrich, 2012).

Those who do, emphasize the danger of the reductionist tendency of the human mind that may inadequately lose sight of the incomprehensible dynamic complexity of life. Such principles should reflect the above-elaborated understanding of life as interconnected meshwork, of which human beings are part rather than defining a solution for a better future society, or the detailed outcome of a desired transformation process. For the multiplicity of transformation processes needed for the Agenda 2030 it is more important to understand which conditions or structures enable life to thrive - or in the theory developed here - enhance patterns of aliveness. This constitutes a challenge: whatever principles, general parameters or guidelines one extracts from the varied insights of living systems theory approaches, they need to be general enough to not prescribe behavior or solutions, and comprehensible and concrete enough to guide action, transformation and adaptive behavior. More so, if they should be useful for stewarding

the large-scale transformation that lies ahead of humankind, they should help guide the recognition of functional or dysfunctional patterns and support the shifting of patterns towards higher degrees of aliveness in a negotiated dynamic balance between and among nested systems in a global society. They need to invigorate and strengthen inherent human competencies to become practically useful for collective sensemaking and collective co-creation.

The principles suggested here are intended to mirror life's wisdom in the way that they engender uncounted different forms of applications.

The purpose of the principles is translating the insights from the above-elaborated pattern approach based on living systems theory into the realm of leading large-scale transformation. They are suggested as core elements of a conceptual architecture for SDG transformation by offering a lens for recognizing functional and dysfunctional patterns and creating a basis for planning adaptive action.

"The six aliveness pattern principles suggested here must be valid for various levels of human communities, from the individual to organizations, societies and global agency for a transformed world."

Hence the six aliveness enhancing patterned principles suggested here must be valid for various levels of human communities, from the individual to organizations, societies and global agency for a transformed world.

8. THE SIX PRINCIPLES CONTRIBUTING TO PATTERNS OF ALIVENESS

The self-similarity of smaller and larger systems often goes unnoticed in the realm of large systems transformation, but it may be exactly that self-similarity that can become a key to understanding how to steward multiple smaller aliveness patterns that grow into large systems change (Goepel, 2016). The six principles that could engender patterns of aliveness in humanlydesigned transformative change are suggested as:

PRINCIPLE 1: INTENTIONAL GENERATIVITY LIFE IS PURPOSEFUL AND GROWS EVER MORE COMPLEX

The first principle rests on the insight that life is purposeful. Intentional generativity refers to the urge of life to expand and create a future and the related capacity of natural organisms and systems to renew, replenish, and restore themselves and become resilient in order to stay alive. For human interaction systems, this means that invigorating the human capability to collectively shape the future enhances 'patterns of aliveness'.

It is important to acknowledge the insights from living systems theory that the generative force of life – across all forms of life - is purposeful and intentional in maintaining and enhancing the conditions for life to thrive. The same *intentional generativity* is reflected in the urge of human beings to create and implement a more sustainable future and maintain the conditions for aliveness for future generations. Invigorating this human capability can be a driver for co-creating, maintaining or rehabilitating global and local *patterns of aliveness* while making progress in a mix between disruptive innovation and continuous iteration. Furthermore, the transformative change in thinking and acting that overcoming the world's challenges require, and that is anchored in the 17 Sustainable Development Goals, means forming many interlinked temporary, goal-oriented systems of human interaction. These, as Waddell (2016) remarks, can be seen as intentional change systems composed of many cross-institutional, cross-national, and also institutional change processes. The idea of generativity acknowledges life's drive for life (Weber, 2016), the purposeful and intentional co-creation of complex patterns of increasing aliveness (Kaufmann, 2016). Such generativity, according to Finidori and colleagues (2015), "points at emergent structure and behaviors that occur in complex adaptive systems. It refers to the "onset of a new level\of functional properties in a system", not necessarily by working directly on the problem, but rather by focusing on its underlying structure system (Finidori et al., 2015, p. 12). With this focus on structure, the system becomes what Finidori (2016), following Jones (2014), terms purposeseeking, i.e., attempting to "converge towards an ideal future state, and upon attainment of any of its intermediate goals [seeking] another goal which more closely approximates its ideal" (Finidori, 2016, p. 16; citing Jones, 2014). Swanson (2009) suggests that purpose-seeking allows for creative, emergent (generative) approaches that move the system towards greater functionality over time (Finidori et al., 2015).

In this context, empowerment can be seen the enhanced ability of people to self-organize their living conditions collectively. Empowered people – individually and collectively - are resilient enough to renew, replenish, and restore themselves and their communities. Conversely, the more severe the power differences, the less likely a dynamic balance of a functional pattern can be achieved or maintained. Hence, power differences lead to negotiations in order to create new balances or more functional patterns of interaction (De Dreu and Van Kleef, 2004). A negotiated balance between the interests of individuals and the wellbeing of the whole is a feature of life – be it in the natural environment or in social systems.

The complexity of the sustainable development challenges suggests that key to the future is to grow multiple narratives and many different approaches that are based on an overall goal and oriented towards negotiated patterns of aliveness. For leading transformative change, like the one envisaged in the SDGs, the principle of *intentional* generativity translates into peoples' ambitions to jointly drive *future possibilities* towards a goal that benefits all. The goals and targets specified by the SDGs provide this purpose- and values-based framework for the world and potentially serve as a guide towards the development of initiatives that 'live' beyond the specific intents of their initiators as they gather momentum. Consciously building on the human urge to make a difference for the better is a cornerstone for gradually building the multiple transformation systems the world requires. Yet life balances the intention to generate life in increasing complexity by forming systems within systems that allow for containment, belonging and identity. This leads to the second principle.

"For leading transformative change, like the one envisaged in the SDGs, the principle of intentional generativity translates into peoples' ambitions to jointly drive future possibilities towards a goal that benefits all".

PRINCIPLE 2: PERMEABLE CONTAINMENT LIFE THRIVES ON IDENTITY AND MEANINGFUL BELONGING

The second principle rests on the insight that life thrives on identity. Boundaries of living systems must be sufficiently enclosed to ensure containment and give identity. At the same time, they must not be so closed that it is difficult to obtain new energetic inputs and release old ones. **Permeable containment** builds and maintains identity, and holds generativity in check while still allowing for development. For human interaction systems, this means that engaging the human desire for belonging, identity and meaning-making exchange as well as collaboration enhances 'patterns of aliveness'.

All living systems need sufficient containment and boundaries for cohesive identities to emerge. Such permeable containment holds generativity in check and yet also allows pathways for change. Weber (2016) emphasizes that, in nature, objects are physically distinct, but also in relation to, and mutually transformed by, each other. The emerging patterns are composed of contained structures that preserve and repair themselves. There is an ordered cooperative interplay that creates and holds the dynamics of existence. Hence, life is a process of identity creation. Only the formation of identities makes collaboration between living systems possible, desirable, and functional. Also Capra and Luisi (2014) explain that systems are meaning-making through identity formation. Such formations create a feeling of belonging (ibid. p. 311) and develop identity in relationships (ibid. p. 354). This concept illustrates the close inter-linkages between principle one and two.

Ashby (2011, p. 202), in articulating the 'law of requisite variety', further notes that "every law of nature is a constraint," and that without such constraints chaos would ensue. *Permeable containment* has sufficient restraints and boundaries to allow cohesive identities to emerge with new inputs and outputs as needed. While learning occurs at the boundaries of identity, predictability is associated with the stability provided by constraints or boundedness – and living systems are adaptive to the extent that their constraints permit (Ashby, 1962).

For leading transformative change around SDG implementation, this concept translates into the need to acknowledge the need for *engagement* – of organizational or community identities. It means stewarding reliable and transparent step-by-step transformation processes, ensure inclusivity in decision-making, and find transparent governance structures that work for all (Kuenkel et al., 2011).

A whole body of literature, particularly in development cooperation, but also in leadership, hints to the importance of participation as a way of ensuring that people are better at implementing that which they have helped to create (Helgesen, 1995). In addition, meaningmaking activities create a sense of belonging and form identities. This understanding is crucial for the Global sustainability challenges, irrespective of whether the goal is to create responsible supply chains, develop innovative technology for climate adaptation, or coordinate better water resource management systems.

"For leading transformative change around SDG implementation, there is a need to acknowledge the need for engagement – of organizational or community identities. It means to steward reliable and transparent step-by-step transformation processes, ensure inclusivity in decision-making, and find transparent governance structures that work for all.

The key to the future is to leverage process architectures that build trust, evidence transformation results, and allow for adaptation. The issue of permeability in containment is crucial - in living systems contained identities need to change, adapt, and maintain an overall cohesiveness, as Maturana and Varela (1980) mention with regards to structural coupling. If a system identity has too little containment, it will dissolve; if the identity becomes too rigid, it loses its resilience and can't survive. This is why the principle of *permeable* containment is closely linked with unfolding novelty - the third principle



Source: GIZ

PRINCIPLE 3: EMERGING NOVELTY LIFE IS GENEROUSLY CREATIVE

The third principle of emerging novelty rests on the insight that life maintains containment but constantly unfolds novel pathways and new identities. The creation of novelty is inextricably linked with life through invention, adaptation, learning, exaptation, or other forms of innovation. For human interaction systems, this means that building on the human desire to venture into the unknown and create new pathways enhances aliveness.

Weber (2016) suggests that life is essentially creative; it self-constructs ever more complex structures and creates novelty by avoiding prefigured pathways. Similarly, Capra & Luisi, (2014) state that life is highly flexible, always trying out new avenues of manifesting endless forms of creativity. Viewed from a quantum physics lens, the principle of unfolding novelty is essentially undetermined. Quantum systems are built on superpositions (Zohar & Marshall, 1994). This means that a variety of possible realities can emerge (see principle of intentional generativity), and all possibilities are existent in the very moment, an undistinguished pool of possibilities with no predictability. As the physicist Schroedinger (as cited by Ho, 1944) states, it is this process of constant interaction with, adaptation to circumstances and generation of new pathways, or new identities, that creates positive energy or what Schroedinger ultimately called positive entropy or negentropy. This way of taking in energy to stay ordered in new ways and staving off death, is a fundamental aspect of what it means to be alive. Ecological systems

are experimenting with novelty, because it needs the new and ever more complex emergence of identities as a way of keeping the whole intact and further overall resilience (Holling, 1973).

"Invigorating a zest for novelty and fostering the ability to recover from disturbances is a cornerstone for the transformation of human societies and for overcoming global challenges."

But there is more to life's drive for novelty – emerging novelty grows beyond intentional generativity and towards a desire to create new life and to maintain the conditions for life to thrive. A patterned whole is never stable but always evolving. Novelty serves the generativity of the whole in a vast system of interconnections that keep checks and balances in place. Invigorating a zest for novelty and fostering the ability to recover from disturbances is a cornerstone for the transformation of human societies and for overcoming global challenges.

A practical example of this is cultivating an awareness that although setting goals, identifying indicators, and monitoring results is crucial, it is also important to give up the idea of reaching a stable state. We can see the need for this flexibility in the history of human systems where a brilliant solution to a problem often becomes the next problem. Hence, success is not indicated by the result reached, but the potential invigorated – the capacity of a system, a collective of actors to selforganize and innovate around increasing *patterns of aliveness*.

Invigorating a zest for novelty means recognizing disturbance and disruptive innovation as a way

of both shifting stuck dysfunctional patterns and of fostering experimentation on many different levels, in many different places and around multiple issues. Transformative change such as SDG implementation requires openness for new solutions, the support for social and technological *innovations*, and the capability to change course when needed.

In light of the global challenges, as individuals and teams carry more and more responsibility in complex multi-actor change initiatives, this capacity to jointly become inventive grows in importance. Humans need novelty to keep engaged and developing; ecosystems need novelty within their dynamic nature to keep them alive and vital. Hope and images of a better future, replete with cultural, technological, social, and other forms of novelty, drive people to act constructively rather than destructively as they might under oppressive conditions.

"Transformative change for SDG implementation requires openness for new solutions, the support for social and technological innovations, and the capability to change course when needed."

Hence, the principles of intentional generativity, permeable containment, and unfolding novelty support each other in creating patterns of aliveness. In order to avoid such patterns becoming too dysfunctional, life operates in contextual interconnectedness with a constant communication flow. This leads to the fourth principle.

PRINCIPLE 4: CONTEXTUAL INTERCONNECTEDNESS – LIFE REQUIRES DIVERSITY IN CONSTANT RECIPROCAL COMMUNICATION

Thefourthprincipleofcontextualinterconnectedness refers to life's vast communication network that engenders constant interaction, reflection, and reaction in endless reciprocal feedback-loops, and benefits from complexity in diversity. It fosters the ability to change and evolve as situationally appropriate, either by growing and becoming more complex, or by declining. Contextual interconnectedness among diverse sub-systems balances the whole and the individual. For human interaction systems, this means that leveraging the human capability to thrive on diversity and act in networks of networks in dialogue enhances aliveness.

The human faculty to converse and interact, gain insight, communicate, adapt, and adjust behavior in response, is a manifestation of the principle of *contextual interconnectedness*. Vital living systems are comprised of inextricably interdependent parts in a constant flow of communication (Ruesch & Bateson, 2006). Weber (2016) suggests that life patterns are self-referential and recursive in the sense that they constantly feedback through interconnectedness and thus influence the overall arrangement of patterns. In this way, life creates certain degrees of autonomy of subsystems that then feedback into the whole, creating a communication system that enables the system to 'talk to itself' (Weber, 2016, p. 86).

Relationships are a core organizing principle of life (Wheatley, 1999), and communication is the

glue that invigorates and leverages networks for change. Capra (1995; 1996) suggests that life is a highly interconnected network in constant communication and interaction, with recursive feedback-loops forming a continually adaptive process. This perspective on patterns of aliveness recognizes the inherent complexity of the world around us, including the social systems and organizations, and the increasing communication and feedback features that the new media provide (Haythornthwaite, 2002). The understanding of life as a highly interconnected network in constant communication with recursive feedback-loops has been accelerated by the Internet and by social media. When globally distributed relationships move to the front (Oshri, Van Fenema & Kotlarsky, 2008; Oshri, Kotlarsky & Willcocks, 2015) it is structured dialogue that allows system participants to understand what is and is not working (Kuenkel et al., 2011) as it collectively allows them to identify the relationships, rules, and regularities that make patterns come alive.

The world is built on relationship patterns as well as a shared context of meaning sustained by continuous conversations (Luhmann, 1990). Connectedness in the form of exposure to multiple perspectives is also a core driver of the change of memes (Waddock, 2015).

Midgley et al. (2013) therefore suggests that the capability of a collective to engage in 'problem structuring' as a way of understanding the dynamics that hold a system in dysfunctionality, may become a cornerstone for leading transformative change. Like natural systems, all human systems, including multi-stakeholder collaborations for SDG implementation, need

to balance their autonomy with the rules and relational patterns of the larger system they are part of. A key to the negotiated dynamic balance of functional patterns in nature is diversity, a crucial requirement for the resilience of an eco-system (Folke, Holling & Perrings, 1996), as it allows for systemic resilience combined with complexity (Holling, 1973; Folke et al. 2010). Similarly, large system change initiatives become more resilient as they incorporate diverse elements, approaches, and ideas (Kuenkel, 2015; 2016).

"It needs further exploration how to accelerate offline and online communication towards faster collective sense-making in building a narrative that helps people to own a more 'alive' future."

The question that needs further exploration is how to accelerate offline and online communication towards faster collective sense-making in building a narrative that helps people to own a more 'alive' future. Evaluation and measurements as tools for feedback-loops need to be reviewed and reinvented (Rouse & Putterill, 2003) to be of service for such *patterns of aliveness*. Yet, the way communication feedback loops through contextual interconnectedness become effective, for creating and recreating *patterns of aliveness*, is largely dependent on the relationship between subsystems and larger systems, or the parts and the whole. This leads to the fifth principle: mutually enhancing wholeness.

PRINCIPLE 5: MUTUALLY ENHANCING WHOLENESS – LIFE OPERATES WITH INTEGRATED COLLABORATIVE ENTITIES

The fifth principle of mutually enhancing wholeness refers to life's inherent urge to create small and large-scale wholeness, and to emerge from wholeness (Alexander, 2002b). Systems are nested and arranged complementarily into larger wholes, and provide coherence and orientation. A whole is always more than the sum of its parts. Its quality cannot be fully understood by breaking it down into fragmented parts. For human interaction, this means that tapping into the human capability to sense wholeness and engage with the bigger picture, the larger story, and the greater system enhances individual and systems aliveness.

Living systems need to be considered holistically, not solely from the perspective of their parts as much of science as well as planning do today. Swanson (2009, pp. 42-43). defines living systems as wholes - which he describes as purposive open systems. From a biological perspective this includes a system's ability to stay in a dynamic but steady state, having some level of complexity, maintaining structural and 'essential decider subsystems', which allow components to interact in a holistic way so that they cannot necessarily be teased into component parts. This may include cooperation and competition, as well as integration and disintegration. Swanson (2009, p. 143) further argues that living systems theory's core contention is that forms of hierarchy and differentiation occur among the elements of a system that co-creatively emerge into higher level and more complex living systems.

Mutually enhancing wholeness can be understood as various different forms of structure and relationships, connecting multiple levels of wholes. A system is like a matrix or meshwork of multiple subsystems. Both the health of the whole and the parts is essential to vital, 'alive' human and natural systems.

Authors inspired by living systems theory and quantum physics emphasize the importance of understanding wholeness rather than fragmentation (e.g., Fullerton, 2015; Weber, 2016; Alexander, 1979; Jacobs, 1961; Bohm, 1980). The primacy of the whole rather than the individual or the atomized part (Fullerton, 2015) is why both Alexander (1979) and Jacobs (1961) focused on whole entities - buildings, communities, or neighborhoods, rather than simply their constituent parts. In his pattern language Alexander (2002) suggests that "Life comes" from the particular details of the way centers in the wholeness cohere to form a unity, the way they interact, and interlock, and influence each other (ibid., 2002, p. 106)." Though Alexander argues that the components of a given pattern language can be added in a step-by-step process, in order to generate the whole, the key is that multiple interacting parts need to be integrated systemically for the 'whole' to become a space in which life flourishes. He explains that wholeness is created by 'structures of great subtlety' (p.86), yet, at the same time, he insists that "the wholeness comes first; everything else follows "(ibid., 2002). This means, wholeness is enacted, not created, it is accessed, not produced; it is animated, not managed. But it can be constructed or reconstructed, or rather: structure - natural or artificial, that is, human-made, can enhance or reduce wholeness.

Living systems are constituted in a way that the parts only grow and function in the context of the whole; no part could exist independently or would not be affected if another part changed. To illustrate, quantum physics challenges the notion of separately existing entities; instead it assumes a single structure of invisible links between different entities, so that they make up a complete whole (Bohm, 1980, p. 175). In a quantum view, each element - abstracted through perception from the unbroken whole - shows its properties in context, depending on its links, much like organs of a body would reveal their properties depending on their integration into the whole body. Thus, the actualization of an element cannot be separated from its circumstances - from its connection to wholeness. Both thinking and language operate in a similar way. They cannot be separated from their context. While language is already a manifestation of certain thoughts - and can only be understood contextually - thought processes themselves are unpredictably embedded in their potentiality, in the context constituted by experience, events, and memories (Zohar & Marshall, 1994, p. 69).

In addition, quantum physics holds another insight about the principle of *mutually enhancing wholeness:* events that are separated in space and time, and that are without any physical or other perceivable connection, can be seen to act together, as if they knew about each other, although no causal factor or information transfer can be established (Bohm, 1980, p. 129). All these phenomena can best be understood when one assumes an underlying totality or an unbroken wholeness that gives rise to quantum systems behaving in a certain way. With regards to the Sustainable Development Goals, the principle of *mutually enhancing* wholeness translates into finding ways of acknowledging that there are multiple layers and overlapping systems in the different elements of a larger system, and that the whole is something different from the sum of the parts. Complex systems, not unlike more complex ways of thinking, include simpler systems and ways of thinking (e.g., Wilber, 1998; 2002; Torbert, 2004). Like fractal patterns (Mandelbrot, 1983), mutually enhancing ways of interacting between global and local initiatives can be stewarded through connections at multiple levels and with multiple pathways. Attending and contributing to wholeness in the sense of the ever next-level collective value (Donaldson & Walsh, 2015), in the way large-scale transformation is designed, will become increasingly important.

"Attending and contributing to wholeness in the sense of the ever next level collective value, in the way large-scale transformation is designed, will become increasingly important."

Navigating complexity, and ambiguity with a variety of complementary approaches in mutual support will be the task for actors in the corporate world, governments, NGOs, and international organizations.

In the context of the SDGs, this would mean that one can begin anywhere in the system, rapidly or more slowly, and in the desired direction or not, from many (groups of) actors working with core elements of memes (Waddock, 2015) and subsequent narratives and stories – as long as most actors keep the larger context in mind. The

latter – the human capability to see and connect with a larger picture is a cornerstone for the scale, breadth, and speed of change required to overcome the global challenges.

"Understanding patterns that mutually enhance wholeness and also work for the parts may be a continuously unfolding journey for humankind."

Understanding patterns that mutually enhance wholeness and also work for the parts may be a continuously unfolding journey for humankind. Explicitly acknowledging the need for a continual negotiated dynamic balance between subsystems and larger systems could be a crucial step forward. Human consciousness will play a key role in this process. This leads to the sixth principle.

PRINCIPLE 6: PROPRIOCEPTIVE CONSCIOUSNESS – LIFE EMERGES FROM MEANING-MAKING COGNITION

The sixth principle of proprioceptive consciousness refers to essential role of cognition in the process of life and is the ability of life to become aware of its emergence, evolution and interdependence. For human interaction systems, this means that raising the human capability for reflection in action and the respect for the integrity of all life enhances aliveness.

Proprioceptive consciousness refers to essential role of cognition in the process of life and is the ability of life to become aware of its emergence, evolution, and interdependence. Consciousness is seen here as a property of life in general, not only the result of human thought (Varela, Thompson & Rosch, 1992). Maturana and Varela (1991) argue that all living systems are cognitive systems. The Santiago Theory of Cognition suggests that cognition, as a function of consciousness, is involved in the self-generation and self-perpetuation of living systems. It includes perception (recognition), emotion (meaning or sensemaking), and behavior (agency or collective co-creation). Proprioception is a term that has been defined by David Bohm (1980, p. 75) as an ability to observe thought while simultaneously thinking and acting. Based on his in-depth research into the quantum world, Bohm proposes that thought, individually and collectively, has to become aware of its consequences, if humankind is to overcome the global challenges. Such awareness generates openness for possibilities, the ability to look at things from many different angles without judgment, and compassion for the individual and the whole (Richards, 2001).

Consequently, a shift in thinking that includes respect for the integrity and dignity of all forms of life could accelerate a shift in global human consciousness. Transformation towards sustainability is not only a technical, planning, or organizational matter, but requires such a shift. In her article on "Leverage points" Donella Meadows (1999, p.18), suggested "Paradigms are sources of systems. From them, from shared social agreements about the nature of reality, come system goals and information flows." Meadows assumes that whoever succeeds at intervening at the level of paradigms may find the pathway to transform systems (ibid., 1999). Similarly, Capra & Luisi (2014) plead for an understanding of the life process as a deepened perception of reality could become a conscious guiding force in leading transformative change.

Like the indivisible world described in quantum physics (Joos et al., 2013), consciousness can be seen as a whole of which human thoughts are partial manifestations. Such an understanding would imply that every process of thought is affecting every other process of thought, because thoughts are connected in the implicate order (Bohm, 1980). While the world we see is a projection of this larger dimension, the explicate suborder is what is present to our perceptive organs, and this means it constitutes a large degree of our consciousness.

It is the principle of proprioceptive consciousness that enables living systems, including human beings, to develop such a sense of wholeness. Becoming aware of *mutually enhancing wholeness* on the experiential level and noticing that the world is deeply interdependent and interconnected is a first step into accessing a deeper level of consciousness (Rosch et al., 1992). This can only happen through awareness, not of the ordinary kind, but awareness through observation, or mindfulness (Krishnamurti & Bohm, 1986).

But awareness is not only a way of being sensitive to what is happening, both outside and inside oneself, but it is also a way of being alive, of being able to be alert. Awareness is the ability to observe a wide variety of phenomena – both outer manifestations such as nature, the environment, the social fabric, the whole net of events and relationships, but also inner feelings, sensations, thoughts, and movements.

It is a way of accessing each other's humanity. Bohm (1996) proposed that a transformation of the nature of consciousness is possible, both individually and collectively, through communication, particularly through dialogue. Accessing humanity in collective thinking processes could inspire coherent action, an action, which is more guided by the need of the whole than the fragmented interest of the individual. In leading transformative change towards SDG implementation, structured forms of dialogue that engender empathy and mindfulness at scale could therefore be a collective to transformation to sustainability. In that way, the principle of proprioceptive consciousness greatly supports all other principles.

> "Noticing that the world is deeply interdependent and interconnected is a first step into accessing a deeper level of consciousness and reaching into each other's humanity."

Community

Source: Collective Leadership Institute

9. WORLD-MAKING IN TIMES OF GLOBAL CHALLENGÉS

The SDGs are, probably for the first time in the history of humankind, a potentially impactful step and at the same time a practical instrument towards the realization and awareness of a interdependency global fundamental and interrelatedness in the world. The goals encourage a shift in global consciousness as they pave the way for honouring the dignity of other people no matter who or where they are (Tager et al., 2016), and also according dignity to other natural systems (Holden, Linnerud & Banister, 2016). The capacity to observe while acting and to step into the shoes of other stakeholders is a cornerstone of leading towards globally and locally better functional patterns - towards patterns of aliveness. In complex transformative change processes it is often the increasing ability to see why others act the way they act that brings stakeholders back into the collaborative journey (Kuenkel, 2016). It is human-to-human empathy that emerges when a new narrative emphasizes that human beings are integrally part of an interconnected worldsystem (Capra, 1996; Capra & Luis, 2014). Raising awareness also for an interconnected humanity is key in the implementation processes around the SDGs. Humankind may be well-equipped for a better future, when such a perspective around interconnectedness and interdependence with all of Earth (and, indeed, the Universe itself) became an imperative of economic, business, and sustainability thinking (Hicks, & Waddock, 2016).

How could the insights on the six aliveness enhancing patterned principles inform worldmaking in these times of global challenges? How could they support the transformative aspirations anchored in the 17 SDGs? The United Nations' Sustainable Development Goals (SDGs) provide an aspirational map for the type of large system transformation (Waddell et al., 2015) needed, if the world's nations and denizens (of all sorts) are to flourish in the future. To bring the idea of aliveness to transformative large system change, it is important to affirm and enhance the life-giving properties of such change systems. Keeping the above-elaborated six principles in mind when developing and implementing initiatives around the Sustainable Development Goals can contribute to their overall effectiveness and create increasing coherence of the multiplicity of initiatives needed to bring about transformation.

Despite a lack of detailed knowledge on how to reach the goals, the SDGs provide the basis for strong identification with a desirable future. They function as an attractor and mental driver for transformation and resonate with the *principle of* intentional generativity. They potentially invigorate a sense of future orientation, can contribute to empowerment, and offer guidance for monitored action. The multiple emerging initiatives around the goals, which partly cooperate, partly compete, may need to be seen through the lens of the principle of mutually enhancing wholeness so that collectively they have a better chance of delivering their contribution to the goals. The understanding of the contextual embeddedness of SDG implementation activities becomes as important as the mutual support between initiatives. As mentioned, many authors (OECD, 2014) highlight the mutual dependency of the goals, hence, attending to the principle of contextual interconnectedness would suggest seeing those initiatives as part of a diverse transformation system that requires structured dialogic exchange and iterative learning mechanisms. Yet, it is equally important not to lose sight of the need for strong bounded, yet permeable identities of collaborating actors, nations, or change initiatives. The *principle of permeable containment* means that transformation subsystems need to be fostered that create



Source: Collective Leadership Institute

aliveness patterns for certain issues, in certain geographical areas or for certain stakeholders – while simultaneously honouring the connectivity with the larger picture that the *principle of nested wholeness* suggests. Structured step-by-step engagement processes are key in implementation as only the quality of engagement leads to the collective action needed. There is an increasing tendency to promote innovation around SDG implementation and tackling global challenges. This invigorates the *principle of emerging novelty*. Despite the detailed formulation of indicators for SDG implementation, the transformation envisaged is a road into unknown territory that requires creativity, agility, and a commitment to continuous innovation. Probably most difficult to understand and therefore most absent is the principle of proprioceptive consciousness. It is often pushed aside into the personal realm, although mindfulness, individually and collectively towards oneself, others, and life is an impactful steppingstone in large system change. It helps create the needed balance between the individual and the whole and furthers empathy and compassion. It contributes to the paradigm shift towards seeing reality as an interconnected whole. There is an increasing body of scholars, philosophers, and activists that promote such a mind-shift, be it in models for a new economy in service of life (Weber, 2016; Fullerton, 2015; Kuenkel, 2016; Goepel, 2016; Capra & Luisi, 2014; Lovins, 1977), an attention to the commons (Weber, 2016; Bollier & Watts, 2002), in the unearthing of indigenous wisdom (Calton, Payne & Waddock, 2008), in the recent advancements of communication technologies (Vaishnavi & Kuechler, 2015; Yates & Orlikowski, 1992) or in the reawakening of mindfulnesstechniques (Kuenkel, 2015).



Source: Collective Leadership Institute

10. TOWARDS A NEW CONCEPTION OF LEADING TRANSFORMATIVE CHANGE

argues that leading complex This paper change with heightened awareness of the larger transformation system as well as the multidimensional challenges of sustainability, profound mindset-shift towards needs а seeing the world as patterned reality in nested ecosystems with constantly to-be-negotiated aliveness aspirations. The advent of the 17 Sustainable Development Goals already shows that human consciousness has risen to the point that the globality of the challenges has been acknowledged. While negotiated at the UN level, the SDGs have gradually entered the political and administrative arena of every country, to a greater or lesser extent, with many activities and initiatives emerging from civil society to governments to business.

If the SDGs should fulfil their function for transforming the world, and subsequently change the way collective world-making takes place, they need to bring about lifeenhancement, 'enlivenment' (Weber, 2013) or patterns of aliveness. However, the scale of change envisaged in the SDGs - the large system change required - is, as noted above, complex and fraught with wicked problems that can be seen as dysfunctional patterns reducing or preventing aliveness. This means that multiple initiatives at different levels and with different actors will be needed to bring about transformative change that enhances *patterns of aliveness*, almost like islands that connect and converge, and subsequently strengthen each other. Given the nature of both complex systems and wicked problems (Waddock et al., 2015) such change can at best be stewarded, but not controlled. The goals in their global aspiration towards Agenda 2030, and in

particular the goal no. 17 on partnerships, suggest to see humanity and the planet as a vast living – and alive - *collaboration ecosystem*. This system needs to function much better than in the past to avoid the much predicted planetary collapse and instead transform to a world that works for 100% of humanity within the planetary boundaries (Steffens et al., 2015). *It needs to bring aliveness or the question of what enhances aliveness into the centre of attention.* Change agents can, however, identify and work with life-enhancing patterns of interaction, creating synergies, diversity, and adaptiveness to circumstance rather than rigid, one-size-fits-all approaches.

"The SDGs in their global aspiration towards the Agenda 2030, and in particular the goal no. 17 on partnerships, suggest seeing humanity and the planet as a vast living – and alive - collaboration ecosystem."

In that regard, working toward the SDGs means accepting humankind's place in the *natural* world and understanding and working in concert with the ways in which nature creates flourishing environments. It means that the task of leading may require a new focus in the context of overcoming global challenges.

Leading transformative change for SDG implementation is no individual task, but rather the capability of distributed and cross-institutional actors to collectively steward co-evolutionary *patterns of aliveness*. This includes safeguarding existing *patterns of aliveness*, actively maintaining them, regenerating disturbed or compromised *patterns of aliveness*, and more consciously cocreating new *patterns of aliveness*. Yet, this is exactly

the point where paradigms may clash, between the approach to transformation resembling a continuity of the fragmented thinking that has caused the global challenges, and the new paradigm where human beings see themselves as an integral part of the Earth system.

"Leading transformative change for SDG implementation is no individual task, but rather the capability of distributed and cross-institutional actors to collectively steward co-evolutionary 'patterns of aliveness'."

Collective sense-making and collective co-creation approaches need to empower a large number of people to recognize patterned realities and make sense of them as a basis for action towards patterns of increasing aliveness. Continuously operating feedback-loops can provide iterative learning, and inspire responsiveness (and responsibility) of all actors to foster *patterns of aliveness*. The challenge is to make the co-creation process in human interaction systems sufficiently conscious and explicit so that it can happen in a more fruitful and constructive way. Approaches to more powerful co-creation can revive or invigorate the essentially human longing to contribute to collective impact (Hanleybrown et al., 2012) and lead collectively (Senge, et al., 2015; Kuenkel, 2016). They need to be applicable at all levels of systemic change.

In this context, the future of leadership is collective, in the sense that rather looking only at individual leadership capacities, working towards a transformed world in a spirit of collective leadership must be defined as the capacity of a group of diverse leaders to deliver their contribution to a more sustainable future through assuming joint and flexible leadership in service of the common good (Kuenkel, 2016).

At the core of such a new conception of leadership is the human capacity to dialogue and transform differences into evolutionary progress. It enables the transcendence of self-centred



Source: Global Leadership Forum

views, a prerequisite for successfully addressing the challenges of globalization and sustainability. However, despite the fact that in their underlying intention, the SDG's enhance the principle of mutually enhancing wholeness, many change initiatives are still in competition with, or in ignorance of, each other and, as a result, actions and initiatives are often duplicated.

For SDG transformation to become more effective it is therefore increasingly important that change initiatives identify with their role within a larger transformation system, consciously operate in a distributed networked action mode, create synergistic connections across different change initiatives, and stay aware of the movement and effectiveness of the overall change system as represented by the SDGs.

Building vital collaboration а ecosystem of transformation initiatives around SDG implementation requires creating an emotionally compelling as well as strategically visible link between different initiatives, e.g. from local to global, local to national, or national to global change systems. Or, stated differently: it must be possible to connect with the larger story and see oneself contextually contributing. This does not mean to administratively coordinate efforts, but it means helping actors see the larger pattern and how they are part of a story much bigger than the individual initiative. It means creating spaces and opportunities for change systems to get into structured conversations with themselves.

"For SDG transformation to become more effective it is therefore increasingly important that change initiatives identify with their role within a larger transformation system, consciously operate in a distributed networked action mode, create synergistic connections across different change initiatives, and stay aware of the movement and effectiveness of the overall change system as represented by the SDGs."

Four shifts are necessary for more effectively leading transformation collectively to address global challenges:

- · Taking goals as transformation guidance,
- · Nurturing emerging potential,
- · Shifting dysfunctional patterns, and
- · Stewarding nested transformation systems.

TAKING GOALS AS TRANSFORMATION GUIDANCE

Goals as transformation guidance can range from agreements on behavioral principles, to voluntary standards for managing certain issues, to agreed meta-level goals that allow a variety of different implementation pathways (Burke, Wilson & Salas, 2005; Pearson, Goulart-Fisher& Lee, 1995; Guth & MacMillan, 1986). The 17 Sustainability Developmental Goals function as such contextual guidance, with the need to continuously crosscheck interconnections, interdependencies, and impacts (Niestroy & Meuleman, 2015), rather than a stable future state or a fixed target to be reached. All forms of goal clarification would then require nurturing the collective capacity to recognize and maintain functional patterns of human interaction. Hence, it is not about reaching a goal or a result, but rather about taking a goal as a temporary guidance in order to achieve a dynamic balance of a better functioning pattern.

"Leading transformative change is not about reaching a goal or a result, but rather about taking a goal as a temporary guidance in order to achieve a dynamic balance of a better functioning pattern."

NURTURING EMERGING POTENTIAL

Nurturing the collective innovation capacity of human systems requires a broad empowerment of people, at all levels of society, so that many more actors become aware of how and when patterns need to shift. Furthermore, looking for existing abilities and stewarding such existing patterns into an emerging pattern shift, or as Burns (2015) puts it 'nurture emerging development', seems to be as important as spotting disruptive ways or shifting stuck patterns. Nurturing emerging potential as a collective leadership task requires asking the right questions and negotiating a pathway into the future collaboratively and coevolutionarily. It means empowering actors in order to build on existing competencies, enabling them to design and enact a better future together, rather than attempting to impose change from the outside.

In addition, building a collective ability could serve both, the individual (or part), and the whole – particularly, if it is guided by global transformation goals.

"Leading transformative change means empowering actors in order to build on existing competencies, enabling them to design and enact a better future together, rather than attempting to impose change from the outside."



Source: Collective Leadership Institute

SHIFTING DYSFUNCTIONAL PATTERNS

Currently one of the most important leadership tasks is often considered to be problem solving or solution finding. However, such an approach may be flawed when it comes to the complex interdependent and urgent (Kuenkel & Schaefer, 2013) challenges of the global sustainability goals. As a result, an increasing body of transformation literature suggests the need to collectively diagnose imbalanced interaction patterns and how they lead to wicked problems (Bäck & Levay, 2015; Termeer, et al., 2013; WBGU; 2011a; WBGU; 2011b; Vermaak, 2011). The practice of a joint diagnosis of the current reality may heighten an awareness of dysfunctional patterns among various actors in human systems. Such awareness could in turn strengthen the capability to actively engage with shifting behavior towards more functional patterns of interaction - between people and also between humans and the environment (Grimm et al., 2000). Moreover, it is important to

see 'solved problems' always as collectively found temporary solutions, hence regularly evaluating their contribution to a more functional overall and long-term pattern of aliveness is key.

Patterns need to be changed again once they no longer serve their purpose, a subsystem's or the overall system's aliveness, or if they do not fit complex and newly evolving challenges (Kuenkel, 2016). As elaborated above, the cognition of patterns as well as collective sense-making and collective co-creation methodologies will need to become standard competencies for leading transformative change in large systems.

"The cognition of patterns as well as collective sense-making and collective co-creation methodologies will need to become standard competencies for leading transformative change in large systems."



Source: Collective Leadership Institute

STEWARDING NESTED TRANSFORMATION SYSTEMS

Conceptualising complex change as nested transformation systems may have a significantly empowering effect for multiple actors. Waddell (2016) reports that multiple actors in the renewable energy field started to create new connections and collaborations as soon as they realised that they were all part of a 'change system'. The fourth mind-shift towards realizing leadership as a collective task is therefore to move from taking an often-isolated project-based approach to stewarding systemic, patterned, and nested change initiatives as well as fostering interconnectedness and the relationship to a larger change system (Waddell, 2016). This means recognizing the nested nature of issues and institutions in an overall complex system. Multiple actors in diverse places and institutions have varying interests and capabilities, and no single initiative or project can 'solve' a problem or address a challenge - because of its very embeddedness. This acknowledges the human agency, which enables conscious choices - to act differently within the complex systems of which people are a part and that they can influence. Large-scale transformation can therefore be seen as a result of different human, albeit loosely connected, collective actions at scale - probably at a scale that cannot be controlled or even coordinated. However, it is important to remember that a small incremental change could count as much as its acceleration or rather its aggregation to systems change (Hinrichs & Kangas, 2003).

"Leading transformative change means to move from taking an often-isolated project-based approach to stewarding systemic, patterned, and nested change initiatives as well as fostering interconnectedness and the relationship to a larger transformation system."

Mindset shifts	From	То
Taking goals as transformative guidance	Aspiring to reach targets defined as measurable stable future state or static target.	Taking goals as temporarily binding guidance for achieving a dynamic balance in better fun- ctioning patterns while using metrics to conti- nuously learn how to further improve patterns.
Nurturing emerging potential	Emphasizing and focusing on the deficits in a system.	Recognizing what already works, building on existing and emerging competencies, empowe- ring functional patterns and fostering disruptive innovation.
Shifting dysfunctional patters	Fixing wicked problems, mitigating risks and combatting challenges.	Collectively diagnosing imbalanced or dysfunc- tional patterns and safe-guarding or co-creating multiple pathways ways to shift patterns into aliveness.
Stewarding nested transformation sytsems	Taking an often-isolated project-based or initiative-based approach.	Stewarding systemic, patterned, and nested change initiatives by fostering interconnected- ness and connection to a larger transformation system.

Table 1: The shifts in mind-sets needed

Source: Collective Leadership Institute

11. OUTLOOK AND CONCLUSIONS: MARRYING LINEAR AND NON-LINEAR APPROACHES TO TRANSFORMATION

This paper has argues that these conceptual mind-shifts encourage a pattern approach that is essential for understanding the connection between small and large system change in SDG implementation. The Global Goals and the challenges to be addressed require recognition of the complex interplay between systemic interventions for the different goals - as well as between the actors and networks they touch. Seeing the 17 goals not only as a technical and political implementation challenge, but also as an invitation to operate with a systems view of life, in a spirit of collective leadership (Kuenkel, 2016), may advance new thinking and subsequently new practice - that could then become the unstated norm.

At the same time, there is a need to translate the patterned approach and the attention to the above-elaborated principles into the linear mode of operations of most current institutions. Such 'translation work' is important, as currently the more linear structures and operational logics of the public sector, the corporate world, civil society and international organizations, do not match with the non-linear, systemic premises that lie behind the principles. The question arises which approaches, models, tools and instruments can facilitate and ease the attention to and the enactment of patterns of aliveness in a world of linear planning and implementation where very few theories, approaches, tools, methodologies, and frameworks enable actors to look at the dysfunctional patterns of interaction that lie behind complex systemic challenges.

However, there are already attempts under way of approaches and methodologies that invigorate

the six principles, implicitly or explicitly. They range from shifting organizational structures (Robertson, 2015) and collaborative innovation designs (IDEO, 2008; Hassan, 2014) or stakeholder governance systems to leadership approaches built on integrating systemic approaches to leading transformative change (Senge, 2015; Kuenkel, 2016).

A good place to start would be investigating the increasingly emerging phenomenon of multistakeholder partnerships and collaboration, because in their complexity cross-sector and multi-actor settings are already an attempt to address complexity with a complex approach, and to pay tribute to the interdependence of implementation issues.

One tested approach to leading transformative change in such multi-actor settings is the Collective Leadership Compass (Kuenkel, 2015; 2016). As a meta-level and principle-based guiding structure the Compass is an example of a transformative change methodology that focuses on collaborative human agency for transformative change. While invigorating human competency dimensions, the compass guides collective sense-making and collective co-creation in multi-stakeholder collaboration, based on the six principles elaborated above. Hence, it builds the capacity of a group of actors to change their structure of attention and subsequently their collective pattern of thought and action, while taking the six above-elaborated principles into account. These principles are translated into the world of planning as human competencies in the six dimensions of *future possibilities*, engagement, innovation, humanity, collective intelligence, and *wholeness*. 18 aspects helping leaders diagnose and plan, and subsequently steward *patterns of aliveness* complement these dimensions. The six human competency dimensions function as design principles in relation to the six alivenessenhancing principles (see fig. 1). collaborative system most multi-stakeholder partnerships operate in. It creates a conscious connection between leadership as an individual task and a collective task - the conscious co-





As individuals and teams carry more and more responsibility in complex multi-actor change initiatives around SDG implementation, the capacity to become constructively co-creative grows in importance. *The Collective Leadership Compass* functions as a roadmap to a new structure of attention - on the individual level, the level of a team and organization, or the larger creation of new realities. 20 years of experiences in complex multi-stakeholder initiatives around sustainability issues have shown that such crossinstitutional and cross-sector collaboration becomes successful if actors pay attention to the six competency dimensions. They serve as a guiding structure for high quality process management in stakeholder collaboration and

Source: Collective Leadership Institute

enhance the collective capability to build functional collaboration ecosystem. These dimensions also mirror collaboration enablers such as codesigned strategy, cooperative delivery, adaptive innovation, dialogic communication, collective value and contextual impact. By using the Compass for the strategic management of crosssector collaboration initiatives the attention to the six dimensions and the related collaboration enablers enhance the vitality of the collaboration ecosystem. Patterns of aliveness in the humanto-human interaction more likely occur. This empowers leaders and change agents to navigate complex collaboration for transformative change successfully (Kuenkel, 2016; 2015). This does not necessarily mean harmony, but a constructive way of dealing with differences, increased levels of resilience and easier access to reconciliation as an underlying human trait. The Compass helps human competencies to surface through a guiding structure that does not prescribe action, but helps fruitful options to emerge. It

strengthens individual leadership, enhances the leadership capacity of a collective, and shifts organizations or systems of collaborating actors towards better co-creation. Beyond such change methodologies, there is a need to review current standard procedures, rules, measurements, as well as monitoring and evaluation systems, to invite new perspectives on how societal and global transformation towards patterns of aliveness can be designed and measured. Regarding the latter, recent attempts to define well-being might open pathways to making the idea of aliveness or enlivenment accessible to measuring (OECD, 2014). Over time, success and impact could be redefined with regard to what the adequacy is of an action, a plan, a complex cooperation project or a strategy in its contribution to shifting dysfunctional patterns into *patterns* \of aliveness. The great task ahead for successful SDG implementation is – across multiple institutions to collectively steward co-evolutionary patterns of aliveness at scale.



Source: Collective Leadership Institute

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13. ABOUT THE AUTHOR

Dr. Petra Kuenkel is an accomplished visionary author, a pioneering strategic adviser to international multi-stakeholder initiatives for sustainability, and a member of the Club of Rome. As the Executive Director of the *Collective Leadership Institute, www.collectiveleadership.com*, a non-profit organization dedicated to empowering actors from civil society, the private sector, and the public sector to lead collectively towards sustainability transformation, she promotes responsible business, people oriented governments, and the strong voice of citizens. Her research is based on living systems theory and focuses on collective leadership and multi-stakeholder collaboration for sustainability transformation



Dr. Petra Künkel

14. ABOUT THE COLLECTIVE LEADERSHIP INSTITUTE

The Collective Leadership Institute is an internationally operating non-profit organisation located in Potsdam (Germany) and Cape Town (South Africa) with focus on educational programmes in the area of Collective Leadership and Stakeholder Dialogues.

We offer capacity building for sustainable approaches to stakeholder dialogues, provide process consultancy, research, and build networks and communities. In Europe, Asia, Africa, and Latin America, we work with leaders, project managers, and change agents from the private sector, the public sector, and civil society. We support and empower them to create and implement collaborative change initiatives for innovative and sustainable solutions to global, societal and local challenges.

We specialise in large-scale change processes around sustainability with a focus on transformation through high-quality stakeholder engagement and with Collective Leadership as our core methodology.

The Collective Leadership Institute is a cutting-edge organization with deep expertise in bringing emerging paradigm leadership concepts to multi-stakeholder processes and projects in support of achieving the Sustainable Development Goals. This work is vitally important to the long-term flourishing of the human family, as well as serving the preservation of life and its beauty on planet earth.





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